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Human Ecology of Shellfish Exploitation at a Prehistoric Fishing-Farming Village on the Pacific Coast of Mexico

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ABSTRACT

Shellfish remains excavated from an early agricultural village on the Pacific Coast of Mexico (Guerrero) indicate a dietary shift from locally obtained estuarine shellfish (1400-1100 BC) to a greater diversity of mollusks collected from more distant marine environments (900-500 BC). The timing of this shift suggests that it occurred as human populations increased and impacted the availability of local estuarine resources. We argue that this prompted the incorporation of a more diverse array of shellfish species harvested at greater distances or obtained via trade, possibly with the use of boats to transport shellfish and other resources back to the village.

Keywords behavioral ecology, formative period, historical ecology, maritime adaptations, Mesoamerica, mollusks, optimal foraging theory

INTRODUCTION

Ancient shell middens along the coasts of the world's oceans indicate the importance 25 of shellfish exploitation for anatomically modern humans as they expanded out of Africa over 100,000 years ago (Erlandson 2001). Most shellfish are sessile, distributed in aggregated clumps, and available year round. Little or no specialized technology is

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required to collect mollusks and people of all ages (men and women, young and old) can collect them easily (Bird et al. 2002; Erlandson 1988; Glassow and Wilcoxon 1988;

- 35 Rick and Erlandson 2009; Yesner 1980). Because of these characteristics, shellfish are a convenient and plentiful source of protein and often a dietary staple in coastal environments. During the Holocene shellfish and
- 40 other marine resources provided a stable subsistence base for growing populations and the emergence of complex hunter-gatherer societies in some regions (e.g., California, Kennett 2005; Rick et al. 2005; Northwest
- 45 Coast, Ames and Maschner 1999). People living in areas of high productivity like coastal and estuarine regions were often slower to implement and commit to agriculture as they had access to a wide variety of aquatic
 50 prey such as shellfish and fish (Piperno
- 2006).

The characteristics that make shellfish an attractive economic resource also make them particularly susceptible to predation

- 55 (Erlandson and Rick 2008). The differential impacts of human predation on shellfish species depend on species' habitats, geographic distribution, available refugia, and life history characteristics as well as hu-
- 60 man preference for particular species and technologies used (Claassen 1986; Erlandson et al. 2011; Mannino and Thomas 2002; Thacker 2011). Heavy predation and longterm human selection of individuals can
- 65 cause population structures to change so that the average size of available individuals in an area decreases over time along with alterations in species composition (Braje et al. 2007; Erlandson et al. 2011; Mannino and
- 70 Thomas 2002; Milner et al. 2007; Rick and Erlandson 2009). Because of the ease of procurement, clumped shellfish that are found in shell-beds (like oysters and mussels) or those found on the surface (gastropods, oys-
- 75 ters, mussels) would be more prone to population decline due to predation compared to shellfish with more elusive behavioral attributes (e.g., burrowing taxa; de Boer et al. 2000). Larger bodied solitary species are also
- 80 prone to decline in number. An increase in diversity in species preyed upon, changes in age and size profiles, as well as shifts to

focus on alternative shellfish species through time can signify human-caused resource depression sometimes influenced by natural 85 processes (de Boer et al. 2000; Erlandson and Rick 2008; Erlandson et al. 2004; Klein et al. 2004; Mannino and Thomas 2002).

Here we explore the effects of human 90 predation on shellfish populations within the vicinity of the early agricultural village of La Zanja, located in the Acapulco Bay region of Guerrero, Mexico. Estuaries, sandy beaches, and rocky shorelines supported a remark-95 able diversity of molluskan species during the Middle and Late Holocene (Kennett et al. 2008). Shellfish populations have been strongly and negatively impacted during the last century with the expansion of Acapulco 100 as a major urban center and tourist destination. Our study provides an environmental baseline for comparison with the contemporary distribution and diversity of shellfish taxa in this region of Mexico. This work 105 also provides a glimpse at changing shellfish harvesting strategies as expanding agricultural populations impacted the more pristine coastal environments of Mexico. Using optimality models from behavioral ecology (op- 110 timal foraging theory [OFT]) we predict that resource depression at this settlement location would lead to expanding diet breadth and the acquisition of resources from more distant locations via long-range forays or 115 trade. One of the unintended consequences of an increasing commitment to agriculture is population expansion and a host of associated environmental impacts (Hooke 2000) that effect the distribution and abundance of 120 non-agricultural subsistence resources. The deposits at La Zanja span this critical interval of early agricultural commitment and expansion and we examine changing shellfish harvesting strategies within this context that are 125 generally consistent with OFT predictions. 150

BACKGROUND

La Zanja is located 2 km from the Pacific Coast, south of Acapulco, at the confluence of the Sabana River and the Tres Palos Lagoon (see Figure 1). The site is located

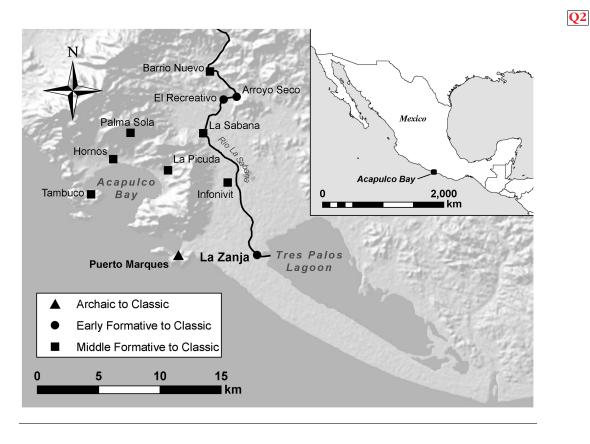


Figure 1. Map of coastal Guerrero, Mexico showing the location of Las Zanja relative to other sites in the region.

- 155 at the edge of the northeastern end of the estuary, on a narrow coastal plain (2.5-13 km wide) between the Sierra Madre del Sur and the Pacific Ocean. Stratified archaeological deposits in this low earthen
- 160 mound site span the Early (~1400-1100 BC) and Middle Formative (~900-500 BC) periods of Mesoamerican prehistory (see below). The Formative Period (~1800 BC-AD 300) followed a trajectory established during
- 165 the Archaic Period (~8000-1800 BC; Kennett 2012) of increasing reliance upon domesticated plants complemented by many wild foods (Flannery 1973, 1986; Kennett and Voorhies 1996; Kennett et al. 2006;
- 170 Piperno 2006; Smith 2001; Voorhies 1996). Greater commitment to agricultural production required more intensive use of land surrounding central places, which altered habitats and gradually changed the relationship

between human and local coastal and 175 terrestrial environments (Doolittle 1990; Lentz 2000; Piperno 2006). New relationships were characterized by population growth, greater sedentism, environmental and social circumscription, and the 180 emergence of social hierarchies that ultimately led to the first state institutions in Mesoamerica (Clark and Blake 1994; Kennett et al. 2006; Rosenswig 2009; Webster 2002). 185

Early excavations in the Acapulco region by Charles Brush between 1959 and 1960 identified a number of sites along the Sabana River and around Acapulco Bay (Brush 1969). Manzanilla López et al. (1991; Man-190 zanilla López 2000) have greatly expanded our working knowledge of the prehistory in this region and reassessed the regional ceramic typology/chronology based on new

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- 195 excavations and Brush's original collections. Puerto Marqués is the earliest site in the region, dating to the Late Archaic (~3500 BC) and was occupied through the Classic Period (~AD 800; Brush 1969; Kennett et al. 2008;
- 200 Manzanilla López 2000; Manzanilla López et al. 1991). Puerto Marqués is located directly on the coast and the faunal assemblage of this site is dominated by species from marine ecosystems in all periods. A deeply
- 205 buried shell mound at Puerto Marqués was dated to the Late Archaic (3500–2000 BC); this mound shows the importance of coastal resources to early foragers in this area (Brush 1969; Kennett et al. 2008). La Zanja was es-
- 210 tablished within a lagoonal/mangrove setting farther from the coast during the later part of the Early Formative (~1400 BC). The proximity of the two sites (~5 km) and the earlier founding of Puerto Marqués suggest
- 215 that La Zanja was originally settled by a group of people from Puerto Marqués.

Laguna Tres Palos and the bay at Puerto Marqués were probably linked by a mangrove swamp during the early and middle

- 220 Holocene but are now separated by a barrier beach (Kennett et al. 2004, 2008). Conditions in the modern lagoon change seasonally with the discharge of the La Sabana River and changing tidal influences as the
- 225 inlet opens and closes to the Pacific Ocean (Kennett et al. 2004). The coastal lagoons and rivers provided access by watercraft to many areas along the coastline and seasonal inundation of the lower reaches of the
- 230 coastal plain offered a form of natural irrigation that could have facilitated agriculture during the Formative Period. In this way La Zanja's location allowed for strategic use of a wide variety of resource patches with dif-
- 235 ferent prey within a 5-km radius of the site. The coastal plain and piedmont areas surrounding the site provided access to terrestrial mammals and the adjacent marine habitat supplied fish, shellfish, and sea turtles.
- 240 A mangrove estuary along which the site is located offered additional local resources such as birds, lagoon fish, gastropods, and bivalves that required little time and effort to acquire. Aquatic invertebrates were also
- 245 available from the intertidal mudflat near the site. A decrease in overall energetic returns

from wild fauna over time at La Zanja probably coincided with a greater dependence on domestic plant foods, which would be complemented by protein from shellfish. Agriculture and high populations would have had a perceptible impact on local resources that may have necessitated forager-fisher-farmers to travel farther into different habitats to procure resources. Lagoon systems around the site could have provided efficient travel to more distant resource patches and the transport of these resources back to La Zanja via watercraft.

THEORETICAL FRAMEWORK

Human behavioral ecology (HBE) provides 260 a set of models that researchers can use to explore complex ecological interactions within changing social and natural environments (Broughton and O'Connell 1999; Kaplan and Hill 1992; Kennett 2005; Kennett 265 et al. 2009; Winterhalder and Kennett 2006; Winterhalder et al. 2010; Winterhalder and Smith 2000). Within the broader HBE framework, OFT provides models to evaluate and examine prehistoric subsistence strategies. 270 Costs and benefits of different foraging behaviors are evaluated given a set of environmental parameters and the models assume that humans tend to make economically rational decisions that optimize energetic re- 275 turns while minimizing investment costs and risk (Broughton and O'Connell 1999; Kaplan and Hill 1992; Smith 1983; Stephens and Krebs 1986). In OFT, benefits are estimated by caloric energetic returns of different prey 280 items with costs including time spent in manufacturing tools, search, pursuit, and processing.

We use the prey and choice model and the Marginal Value Theorem here to explore 285 shellfish harvesting strategies at La Zanja through the Early and Middle Formative Periods and the expansion of diet breadth at the through time. With long-term intensive use of a relatively homogenous local environment, usually associated with increasing populations and targeting of high-ranked species, the prey choice model predicts predation pressure that results in declining

- 295 abundance of higher ranked large species (Broughton and O'Connell 1999; Kaplan and Hill 1992; Smith 1983). With resource depression, encounter rates with high-ranked foods decrease until foraging return rates de-
- 300 crease enough that foragers expand their diets to incorporate lower ranked prey items and the optimal diet becomes more diverse (Broughton and O'Connell 1999; Hawkes and O'Connell 1992; Kennett et al. 2006).

305 The Marginal Value Theorem makes predictions about how long an individual will stay in a given resource patch before moving on to exploit another (Charnov 1976). Individ-

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- uals will widen their foraging range as local food sources are impacted, and may focus on higher ranked prey to compensate for energy expended in travel until a diet that optimizes energetic returns is reached.
- The prey choice model assumes homo-315 geneity of prey distribution within the environment, but a division of the foraging area into resource patches allows application of measures of evenness and diversity within patches that are sensitive to re-
- 320 source depression and widening diet breadth (Nagaoka 2002). A decrease in encounter rates could prompt individuals to widen their search area or to target different patches. However, at a certain point, if high-ranked
- 325 items become so depleted that the increase in search time is not met with sufficient returns, more low-ranked local resources may be added to increase diet breadth (Broughton and O'Connell 1999; Hawkes and O'Connell
- 330 1992; Hawkes et al. 1997). In this way, an increase in diversity indices can also signal a decrease in foraging returns. Hawkes and O'Connell (1992) point out that where the diet is relatively narrow, foragers will typi-
- 335 cally target high-ranked prey to justify costs, with high search times relative to handling time. They go on to say, "Conversely, where diet is broad and handling represents the bulk of foraging effort, improvements in handling
- 340 efficiency would have large effects" (Hawkes and O'Connell 1992:64). As targeted prey species decline and high populations prevent the expansion of sedentary groups into less impacted environments, reliance on in-
- 345 tensive food production with high handling costs may increase.

Within the La Zanja faunal assemblage, the highest ranked prey were marine turtles that were hunted locally while nesting on beaches and in the estuarine zone (Smith 350 et al. 2007). Other high-ranked mammals found in the assemblage include deer and peccary; the energetic returns for these species may have been moderated by increased travel and search time in interior 355 locations. Estuarine fish and shellfish may have individually represented a lower protein/energy contribution than larger animals, but were the easiest prey to acquire as they were present in abundance in the la- 360 goon near the settlement. There is a tradeoff between energy capture and subsistence risk and the reliability of shellfish may have served the foraging goals of men, women, and children differently (Zeanah 2004). The 365 habitats of marine fish and shellfish would require more time spent in travel to acquire than estuarine varieties, but were probably a more reliable and consistent food source than many of the larger animals. Madsen and 370 Schmitt (1998) emphasize that prey items such as insects and shellfish can be collected in mass and that their dietary ranking is density dependent. They point out that when small animals are available in large amounts 375 they become a high-ranked item and can displace larger prey in optimal diets. Many of the shellfish species in the La Zanja assemblage occur in clumps and were likely captured with mass harvesting techniques (e.g., 380 rakes) and thus contributed to larger energetic returns compared with solitary animals of the same size.

METHODS

The La Zanja site is an earthen mound 3.2 mhigh with an area of $40,000 \text{ m}^2$. In 2001 385 we excavated a $2 \times 2 \text{ m}$ unit at the highest point in the mound down to a depth of 3.6 m in order to gain a complete view of Formative period occupation (20 cm levels; see Figure 2). Previous excavations at the site by 390 Brush (1969), along with our own systematic auger tests, indicate that the Early and Middle Formative Period deposits are only represented in the center of the site. The

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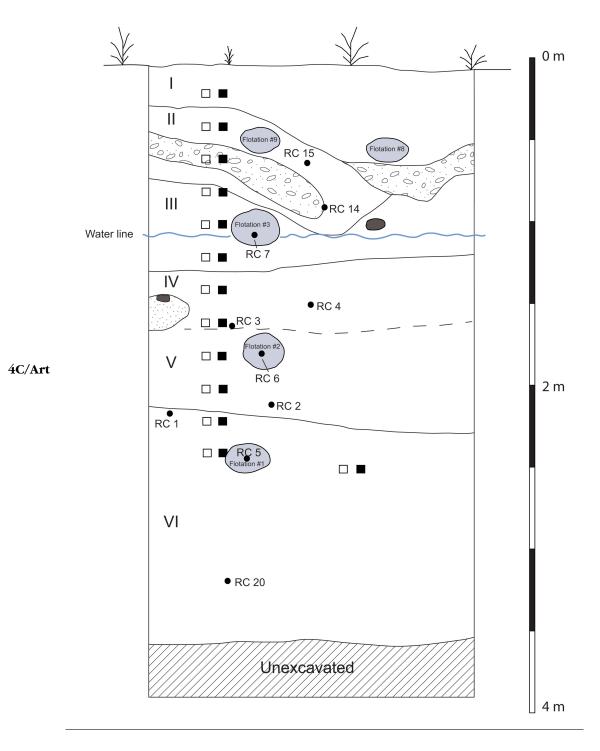


Figure 2. North profile of excavated unit at La Zanja showing Early and Middle Formative Period stratigraphic units and associated AMS ¹⁴C dates (color figure available online).

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- 395 upper Middle Formative Period deposit extends more widely and is indicative of population expansion after the settlement was initially established in the Early Formative. The 2×2 m unit was placed in the center
- 400 of the site to sample the stratigraphic superposition of Early and Middle Formative Period deposits. The study was exploratory due to budgetary constraints and additional work will be required to determine the
- 405 degree of spatial heterogeneity of these deposits.

Pumps were used to excavate below the water table (~108 cm beneath the surface; Kennett et al. 2004). Stratigraphy was essen-

- 410 tially horizontal and there were two major cultural components represented by unique artifact assemblages dating to the Early (360-240 cmbd) and Middle Formative (200-80 cmbd) Periods. Seven AMS ¹⁴C dates were
- 415 calibrated and modeled stratigraphically in OxCal 4.2 to establish the chronology for the site (Figure 3; Bronk Ramsey 2013; Kennett et al. 2011). Table 1 provides the 2- σ calibrated ranges for each date and the modeled
- 420 age for each phase (or period). Dates were placed in an ordered sequence and modeled within two phases, Early and Middle Formative, based on stratigraphic association. The span of time represented by each dated event
- 425 for the Early Formative phase is estimated to be between 24 and 344 years (~1400-1100 BC), with a total maximum duration for the phase of 846 years. The span of the dated events for the Middle Formative phase
- 430 is between 53 and 354 years (~900-550 BC), with the total maximum duration estimated at 796 years. While the maximum duration of occupation at the site for the Early Formative is approximately 120 years longer than
- 435 the Middle Formative, average durations for each phase (423 and 420 years, respectively) suggest comparable occupations of comparable duration. The Formative period occupation at La Zanja is contemporary with the
- 440 growth of settlements on the Gulf Coast (San Lorenzo; Cyphers 1996; Cyphers et al. 2007– 2008), Oaxaca (San Jose Mogote; Flannery and Marcus 2000), and the Soconusco (Paso de la Amada; Clark and Blake 1994).
- 445 Sediments from each 20-cm level of the unit were screened through 5-mm mesh with

an additional 104-liter sample from each level passed through a 3-mm screen (Kennett et al. 2004). Shells in the assemblage were fragmented from processing, but large enough 450 that the 5-mm sample considered here is representative. Field assessment of the 3-mm residue indicate that shellfish species smaller than 5 mm are rare in the assemblage. Levels analyzed are of equal volume (5.6 m³) 455 for both periods and the duration of occupation is similar so we argue that the samples are directly comparable. Poteate and Fitzpatrick (2013) have suggested that sampling less than 16% of zooarchaeological remains 460 of a study population may produce results that are not statistically significant and are not representative. Though the assemblage analyzed represents a subsample of the greater population at the site, analysis of smaller 465 units (e.g., 50×50 cm) are not uncommon for coastal settings. Shellfish are abundant in the excavation at La Zanja, and the overall zooarchaeological assemblage is closely mirrored by the diverse assemblage excavated at 470 the nearby site of Puerto Márques. Previous research from both sites shows a trend of human predation that resulted in the reduced availability of high-ranked sea turtles from the Early to Middle Formative periods (Smith 475 et al. 2007). Similar trends observed in the shellfish assemblage at La Zanja are likely to be representative of long-term changes in human foraging patterns that led to an increasing diet breadth. Modern trash was mixed 480 into the upper 80 cm of the deposit and there was a single mixed level (200-220 cm) between the Early and Middle Formative Period components. These mixed deposits were excluded from our analysis. 485

Shellfish remains were identified using a comparative collection developed by Barbara Voorhies and Douglas Kennett (Table 2). We used the Number of Identified Specimens (NISP) and Minimum number 490 of Individuals (MNI) to quantify taxonomic abundances for mollusk species. MNI and NISP are common zooarchaeological methods that are often highly correlated. MNI provides researchers with a close approximation of the number of individuals belonging to a study population (Classen 1998; Reitz and Wing 1999).

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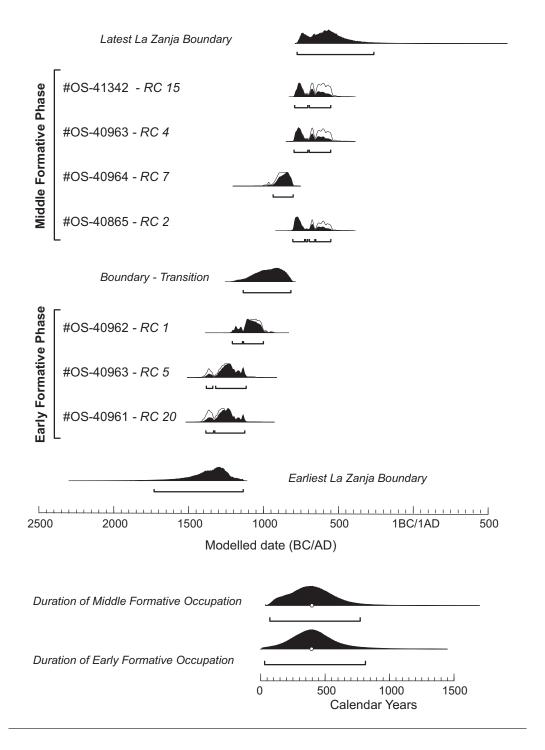


Figure 3. Modeled calibrations for AMS¹⁴C dates at La Zanja.

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| Sequence/phase | OS # | Sample | ¹⁴ C age (BP) | Modelled 2-σ cal range |
|-------------------------|-------|-------------|--------------------------|------------------------|
| | | Boundary | | 784-266 BC |
| Middle Formative Period | | | | |
| | 41343 | RC 15 | 2510 ± 30 | 793-551 BC |
| | 40963 | RC 4 | 2520 ± 35 | 797-552 BC |
| | 40964 | RC 7 | 2720 ± 40 | 936-803 BC |
| | 40865 | RC 2 | 2540 ± 40 | 805-551 BC |
| | | Boundary | | 1134-818 BC |
| Early Formative Period | | | | |
| | 40962 | RC 1 | 2890 ± 30 | 1208-1001 BC |
| | 41342 | RC 5 | 3010 ± 40 | 1382-1116 BC |
| | 40961 | RC 20 | 3030 ± 40 | 1386-1126 BC |
| | | Boundary | | 1737-1134 BC |

Table 1. AMS ¹⁴C dates from La Zanja used in Bayesian chronological model.

Indices were calculated for richness, di-

- 500 versity and evenness in the Early and Middle Formative Period shellfish assemblages. Richness was determined as a raw count of taxa present in the Early and Middle Formative assemblages. It is simply a measure
- 505 of the number of shellfish taxa represented in the sub-assemblages being compared and is a product of the overall species diversity, prehistoric subsistence and processing decisions, and variations in sample size and shell
- 510 preservation. Diversity was calculated using the Shannon-Weaver Index and takes into account number of species present and how evenly the numbers (MNI) are distributed among taxa (Reitz and Wing 1999:104–106).
- 515 This accounts for the overall dietary contribution of each species rather than simple presence or absence. Evenness or equitability was calculated by dividing the Shannon-Weaver function by the number of species in
- 520 the community. It provides a measure of how evenly distributed the abundance of taxa are in the assemblage with 1 indicating even distribution and lower values indicating the dominance of 1 or more species. This index is
- 525 therefore sensitive to dietary shifts from single high-ranked prey to a greater diversity of low-ranked prey, or vice versa, through time.

RESULTS

Shellfish taxa were compared using MNI with most species divided into habitats (Table 2, Figure 4A). A total of 4702 shell- 530 fish (MNI) from La Zanja were identified from both Early and Middle Formative strata. In the Early Formative, 2,102 total shellfish (MNI) were recovered and 2,091 were identified to the species level (23 distinct 535 species). Another 11 MNI were identified to the genus level (two additional genera identified). In the Middle Formative, shellfish remains increase to 2,600 MNI and represent a much more diverse collection of 540 taxa with 2,562 MNI identified to 31 different species, 35 MNI identified to 5 genera, 2 MNI identified to family, and 1 MNI identified only as an unknown taxa of barnacle. Ten species dominate the La Zanja 545 shellfish assemblage: Chione californiensis, Donax punctatostriatus, Megapitaria auranitiaca, Noetia reversa, Ostrea palmula, Tagelus affinis, Tivela bians, Mytella strigata, Oliva incrassata, and Theodoxus lu- 550 teofasciata. Together these species constitute 96% of the Early Formative shellfish assemblage and 95% of shellfish remains in the Middle Formative (Figure 4B).

| Species | Early For- mative | Middle Forma- tive | Common name | Habitat | Typical shell length (mm) | Reference |
|-----------------------------|-------------------------|--------------------------|-----------------------|---|------------------------------------|---|
| Bivalves | | | | | | |
| Marine | | | | | | |
| Anadara formosa | 0 | 1 | Ark Clam | Marine offshore 11-82 m, attached to rocks | 121 | Keen 1971 |
| Anadara multicostata | 7 | 12 | Many-ribbed Ark | Sandbars accessible at low tide | 59 | Keen 1971 |
| Antigona multicostata | 1 | 2 | | Sand and among rocks at low tide | unknown | Voorhies, personal communi cation 2010 |
| Cbama mexicana | 0 | 3 | | Intertidal | 63-101 | Keen 1971 |
| Chione californiensis | 10 | 64 | California Venus | Intertidal mudflats | 68 | Keen 1971 |
| Diplodonta sericata | 2 | 2 | Flat Diplodon | Intertidal sand beaches and flats to 10 m | 22 (height) | Parker 1964 |
| Donax punc- tatostriatus | 5 | 952 | | Marine intertidal to 5m | 45 | Voorhies, personal communi cation 2010 |
| <i>Euvola</i> sp. | 0 | 1 | | Marine | | |
| Glycymeris gigantea | 0 | 3 | | Offshore or intertidal | ~ 70 | Keen 1971 |
| Lyropecten subnodosus | 1 | 0 | Pacific Lion's Paw | Marine offshore | 165 | Keen 1971 |
| Megapitaria aurantiaca | 92 | 69 | Golden Callista | Tidal flats | 112 | Keen 1971 |
| Noetia reversa | 4 | 26 | | Intertidal and sub-tidal 73 m | 37 | Voorhies, personal communi- cation 2010 |

Table 2. La Zanja shellfish identified taxa with MNI, preferred habitat, and average shell size. Q6

(Continued on next page)

| Species | Early For- mative | Middle Forma- tive | Common name | Habitat | Typical shell length (mm) | Reference |
|-------------------------------|-------------------------|--------------------------|----------------------|---|------------------------------------|--|
| Ostrea palmula | 78 | 53 | California Oyster | Attached to mangrove roots or rocks, usually in areas exposed to surf up to 7 m | 76 | Keen 1971 |
| <i>Pinnidae</i> sp. | 0 | 2 | Pen shells | Mud and gravel in quiet bays | 220< | Keen 1971 |
| Pododesmus macroschisma | 1 | 0 | Alaska Jingle | Intertidal and sub-tidal to 90 m | 67 | Coan et al. 2000 |
| Tagelus affinis | 10 | 87 | Neighbor Tagelus | Mudflats or offshore to 73 m | 55 | Keen 1971 |
| Tivela bians | 2 | 67 | | Marine, near-shore sand | 50 | Voorhies, personal communi cation 2010 |
| Trachy- cardium consors | 4 | 1 | | Tidal flats and sub-tidal to 45 m | 60 | Keen 1971 |
| Tucetona multicostata | 0 | 2 | | Marine offshore to 90 m | 35 | Keen 1971 |
| Undulostrea megodon | 0 | 17 | | Low to deep (110 m) rocky intertidal | 76 | Keen 1971 |
| Estuarine | | | | | | |
| Chione subrugosa | 1 | 6 | Semi-rough Chione | Lagoons and mudflats | 42 | Keen 1971 |
| Mytella strigata | 100 | 132 | Mangrove mussel | Common in mud near mangroves. | 58 | Abbot and Dance 1982 |

Table 2. La Zanja shellfish identified taxa with MNI, preferred habitat, and average shell size. (Continued)

(Continued on next page)

| Species | Early For- mative | Middle Forma- tive | Common name | Habitat | Typical shell length (mm) | Reference |
|---|-------------------------|--------------------------|----------------|--|------------------------------------|---|
| Polymesoda sp. | 4 | 6 | | Brackish to freshwater, not sandy beach | 30-60 | Keen 1971 |
| Gastropods | | | | | | |
| Marine Cerithium stercusmus- carum | 20 | 18 | Cerith snails | Intertidal rocky shores | 25 | Parker 1964 |
| Cymatium wiegmanni | 5 | 2 | | Deep water on rocks; used by hermit crabs | 76 | |
| Hexaplex ery- throstomus | 3 | 8 | Pink Murex | Intertidal; feed on <i>Megaptaria</i> <i>squalida</i> | 101 | Keen 1971 |
| Natica chemnitzii | 0 | 7 | | Intertidal mudflats and sand | 33 | Keen 1971 |
| Northia northiae | 0 | 1 | | Offshore | 45 | Voorhies, personal communi- cation 2010 |
| Oliva incrassata | 31 | 151 | Olivella Snail | Outer edge of sandspits at very low tides | 55 | Keen 1971 |
| <i>Semicassis</i> sp. | 0 | 1 | | Sand at low tide | 50 | Keen 1971 |
| Stramonita biserialis | 3 | 2 | | Rocky intertidal | 75 | Keen 1971 |
| Strombus galeatus | 0 | 2 | Cortez Conch | Rocky areas at low tide and close offshore | 190 | Keen 1971 |

Table 2. La Zanja shellfish identified taxa with MNI, preferred habitat, and average shell size. (Continued)

(Continued on next page)

| Species | Early For- mative | Middle Forma- tive | Common name | Habitat | Typical shell length (mm) | Reference |
|----------------------------|-------------------------|--------------------------|------------------------|--|---|-----------|
| <i>Tripsycha</i> sp. | 0 | 4 | Worm Snails | Marine. | 80 (length of coil); 10 (diam. of opening) | Keen 1971 |
| Turritella leucostoma | 0 | 2 | | Marine, up to depths of 40 m mud and sand flats | 115 (length); 20 (diam.) | Keen 1971 |
| Estuarine | | | | | | |
| Ceritbidea mazatlanica | 17 | 10 | | Incidental? Tidal flats, mudflats, and marshes | 27 | Keen 1971 |
| Theodoxus luteofasciata | 1,690 | 860 | | Margins of mangrove swamps and mudflats | 12 | Keen 1971 |
| Marine/Estuarine | | | | | | |
| Melongena patula | 4 | 1 | Pacific Crown Conch | Sand, mudflats, intertidal, and estuaries | 117 | Keen 1971 |
| Arthropods | | | | | | |
| Marine | | | | | | |
| <i>Megabalanus</i> sp. | 7 | 23 | Barnacle | Intertidal marine on rocks | | |
| Unknown barnacle | 0 | 1 | Barnacle | Intertidal marine on rocks | | |
| Total MNI | 2,102 | 2,600 | | | | |
| Total taxa | 25 | 38 | | | | |

Table 2. La Zanja shellfish identified taxa with MNI, preferred habitat, and average shell size. (Continued)

555 We identified the habitat for each mollusk taxa based on Keen's (1971) designations for western American tropical shellfish (Table 2, Figure 4A). Seven of the ten most common species are marine clams that are found in intertidal sandy beach or mudflat 560 habitats. Most of the following clam species are small, with shell sizes that average less than 70 mm. *Megapitaria auranitiaca* is larger with an average shell width of about

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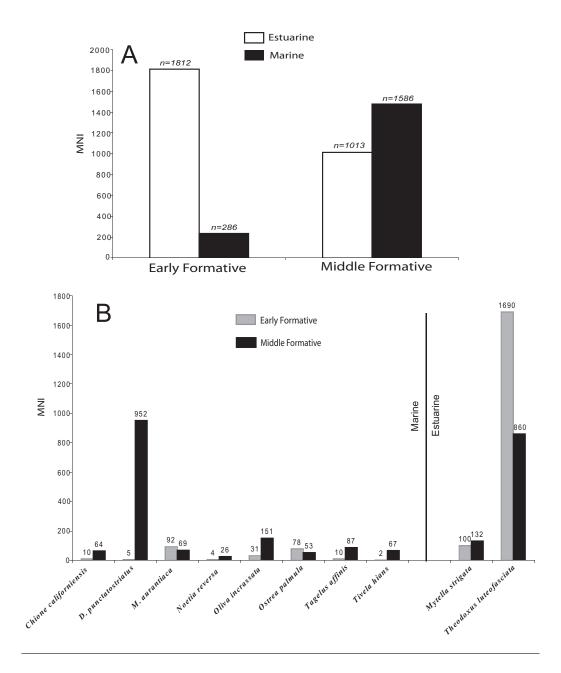


Figure 4. A. La Zanja Early and Middle Formative Period shellfish species divided by habitat in MNI. (4B). La Zanja ten most common shellfish species Early and Middle Formative Period MNI.

565 112 mm. *Chione californiensis* is found in intertidal mudflats. The clam *Donax punctatostriatus* is found in intertidal and subtidal areas up to 5 m. *Megapitaria aurani*- *tiaca*, the Golden Callista clam, is found in tidal flats. The preferred habitat for *Noetia* 570 *reversa* is intertidal areas and it can also be found in subtidal habitats up to 73 m in

depth. *Tagelus affinis*, the Neighbor Tagelus is found in mudflats or offshore sand to 73 m.

- 575 The clam *Tivela bians*, is found in near-shore sand. Another bivalve species that is common at La Zanja is the California oyster, *Ostrea palmula*, which attaches to mangrove roots and rocks typically in areas of tidal
- 580 reach up to depths of 7 m. The Olivella snail, *Oliva incrassata* is also typically found in marine, intertidal sandy beaches, commonly found on the surface at low tides. These marine taxa were harvested either to the west
- 585 or southwest of La Zanja along the barrier beach or northwest in Puerto Marqués. Both of these areas were easily accessed by watercraft from La Zanja. The dominant estuarine shellfish taxa found in the assemblage (*Theo*-
- 590 *doxus luteofasciata* and *Mytella strigata*) are both found in muddy lagoon sediments adjacent to the site.

Locally available estuarine taxa dominate the Early Formative Period assemblage

- 595 (Table 2, Figure 4A; N = 1812, MNI). Only a small number (N = 286, MNI) were from marine habitats and the dominant estuarine species was a small (12-mm shell) brackish water snail (*Theodoxus luteofasciata*;
- 600 N = 1690, MNI or 80% of the assemblage). There was an overall increase in shellfish exploitation during the Middle Formative Period (N = 2600, MNI) and the number of marine taxa jumped dramatically (1,586 of
- 605 2,600 total MNI). Richness, diversity, and evenness in the mollusk assemblage all increased from the Early to Middle Formative Period (Figure 5). *Theodoxus* declined by almost half, but the snail still represents 33%
- 610 of Middle Formative shellfish assemblage. *Donax punctatostriatus*, a small marine clam species, dominates the Middle Formative assemblage (N = 952; 37%). Marine clam species increase overall in the Middle For-
- 615 mative Period deposits and all clam species from marine intertidal or mudflat habitats represent over 50% of the total assemblage. This suggests a transition through time from more local, estuarine snail gathering to a
- 620 wider foraging area that included the collection of clams from marine habitats that were transported back to La Zanja from beach environments.

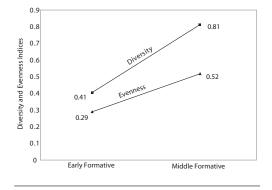


Figure 5. Diversity and evenness values of shellfish assemblage at La Zanja from the Early to Middle Formative Period.

DISCUSSION

The shellfish assemblage at La Zanja is taxonomically rich and composed of mollusks 625 from different types of habitats. There are changes in the shellfish assemblage through the Formative Period that we interpret as economic reorganization as populations expanded at the site and became more de- 630 pendent upon maize-based food production (Kennett et al. 2008). This may have resulted from the depression of locally available resources or increases in the economical viability of mollusks from more distant marine 635 habitats because of improved watercraft. Although the sample is small it represents a first glimpse of subsistence changes between the Early and Middle Formative Periods and provides a starting point for testing these hy- 640 potheses in the future with additional work at the site.

Based on the available data we argue that decreases in the number of estuarine shellfish in the Middle Formative at 645 La Zanja indicate local depletion resulting from population-dependent increases in human predation. A marine species that was minimally present in the Early Formative assemblage, *Donax punctatostriatus*, be-650 came the most common species in the Middle Formative. This occurred as the most dominant Early Formative estuarine species (Theodoxus luteofasciata) was reduced to

655 over half its former abundance. The collection of this marine species at more distant locations may have been enhanced by the availability of watercraft. There was an increased focus in the Middle Formative 660

- on bivalve clam species from sandy and mudflat intertidal zones. The two most common shellfish species in the Early Formative, the estuarine gastropod Theodoxus luteofasciata and the brackish water mussel
- 665 Mytella strigata, are both perching species that would be easily located and collected in large numbers from the surface of sand, rocks, or mangrove roots. These species would require little skill or time to find and
- 670 gather compared with the burrowing clam species that make up over 50% of the Middle Formative shellfish assemblage.

Small species such as aquatic gastropods individually represent a small energetic

- 675 return, but require little skill to collect in large quantities. These gastropods were also easy to process by crushing in large quantities or boiling, as demonstrated ethnographically and archaeologically in other areas
- 680 (Raab 1992; Voorhies 2004). Although the mollusk assemblage is well preserved these gastropods all show evidence for cracking for meat extraction. Most of the marine shellfish species evident in the Middle Forma-685
- tive deposits were mudflat or intertidal clams and have larger meat packages than the estuarine snail. Large tidal flat bivalves require more expertise and time to locate and harvest because they burrow in the sand (Raab
- 690 1992), although technologies such as rakes may have been used to reduce time and effort. Clam meat can be dried and stored for long periods of time. The handling and transportation costs of acquiring marine mol-
- 695 lusks from more distant locations places limits on the taxa pursued and how they were processed (Bird and Bliege Bird 1997). If watercraft were more limited in the Early Formative Period, then extensive field pro-
- 700 cessing (e.g., removal of shells, drying; Bird and Bliege Bird 1997) would have been necessary to generate viable load sizes exceeding the energy expended in transport biasing the assemblage towards underrep-

705 resentation of shellfish remains (Bird et al.

2002). The marine mollusks in the Middle Formative assemblage, on the other hand, are the largest available along the coast and larger than locally available snails therefore this is consistent with the prey choice model pre- 710 diction that larger species will be targeted as transport costs increase. However, the dominance of marine mollusks at La Zanja from more distant locations during the Middle Formative points to changes in transportation 715 costs consistent with the availability of more viable watercraft (see below).

The overall quantity of shellfish increased in the assemblage from the Early Formative (MNI = 2098) to the Middle For- 720 mative (MNI = 2599), perhaps indicating a higher level of predation pressure as human populations rose in the village (Table 2, Figure 4A). Shellfish increased in diversity and evenness during the Middle Formative (Fig- 725 ure 5) suggesting expanding diet breath in the face of population-dependent resource depression locally. Larger shellfish were also collected at more distant locations and transported back to La Zanja. This suggests that 730 people were searching farther and longer for prey during the Middle Formative. If watercraft were used more in the Middle Formative, this could increase foraging efficiency, as these early farmers could cover more 735 ground and easily return with materials from more distant resource patches (Gomez et al. 2011; Thomas 2008). A rise in the use of boats might negate the importance of removing low-utility parts (shell) prior to transport of 740 shellfish back to central places (Ames 2002). More marine shell in the Middle Formative assemblage is expected if boats were used for the transport of goods more frequently than in the Early Formative. However, if ma- 745 rine shellfish were transported primarily on foot in both periods they may be underrepresented in both assemblages and more important dietary constituents than faunal remains indicate (Bird et al. 2002). 750

There is evidence for increased maritime trade along the Pacific Coast during the latest Early Formative and earliest Middle Formative Periods (Gomez et al. 2011). There is also evidence for the development of more 755 intensive trade networks with the Pacific Coast Olmec either over land or across the

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Isthmus of Tehuantepec and up and down the Pacific Coast. Lagoons and coastal river

760 systems served as important flat-water conduits for boats carrying pottery, obsidian, and other valuable resources. White slipped and incised pottery became more prevalent in the assemblage at La Zanja during the Middle

- 765 Formative along with other status items suggesting linkages/contact with the Gulf Coast Olmec. It is likely that watercraft were commonly used at this time both for the transport of long-distance trade goods and for
- 770 local travel. If transportation via watercraft became more common in the Middle Formative, there may have been less incentive to process mollusks before transport, consistent with the higher incidence of low-utility
- 775 shell from marine invertebrates in the La Zanja Middle Formative component. As populations increased during the Middle Formative, the use of watercraft might have served as a cost-reduction strategy in the face of
- 780 resource depression, since travel costs to more distant patches would be reduced. It is also possible that marine mollusks were obtained via trade with coastal communities. Agricultural productivity was higher on the
- 785 more expansive alluvial portions of the coast compared with barrier beach locations and maize, beans, and squash could have been exchanged for marine foods including shellfish. Trade and the production of trade items to
- 790 exchange for food provides another mechanism for expanding dietary breadth (Kennett 2005). Regardless, changes in the La Zanja shellfish assemblage through time are generally consistent with the prey choice model
- 795 and Marginal Value Theorem for dietary expansion in the face of population-dependent resource depression.

CONCLUSION

The Formative Period occupants of La Zanja combined the cultivation of domesticated plants with a heavy reliance upon coastal

and estuarine resources, largely shellfish and fish. Foraging strategies changed and diet breadth expanded to incorporate a larger array of shellfish taxa as people became more reliant on maize-based food production and

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village populations increased during the Middle Formative Period. Mollusks were also collected from more distant beach habitats and transported back to La Zanja. This parallels an increased reliance upon boats for trade 810 evident along with coasts of Mesoamerica during the Formative Period (Gomez et al. 2011). The predictions of OFT models are consistent with many of the patterns seen in the shellfish assemblage at La Zanja where 815 the increase in shellfish catchment area in the Middle Formative seems to occur at a time of population expansion and increasing human environmental impacts with a growing commitment to maize agriculture. 820

While our examination of shellfish exploitation and expanding diet breadth at La Zanja predict a more generalized diet and a movement of foragers into more distant habitats, with decreasing encounter rates 825 with local high-ranked prey (Broughton and Bayham 2003; Broughton and O'Connell 1999; Hawkes and O'Connell 1992), parallel shifts in diet breadth might also be explored through changes in other aquatic taxa (fish, 830 turtles, etc.). Our previous work suggests the local depression of nesting sea turtle populations at this and other locations through time (Smith et al. 2007) that is consistent with expanding human populations region-835 ally. Future work will focus on analysis of fish taxa and other faunal classes (e.g., large mammals) in order to rank prey species and examine changes in foraging patterns that may have existed at La Zanja. Through an ex- 840 amination of a larger zooarchaeological assemblage, optimal foraging models from behavioral ecology provide an effective tool for exploring the ecological effects of population growth and human predation within 845 coastal settings.

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